

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission:

9/8/2008

2. Agency:

Social Security Administration

3. Bureau:

Systems

4. Name of this Capital Asset:

Intelligent Disability

5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.)

016-00-01-02-01-2140-00

6. What kind of investment will this be in FY 2010? (Please NOTE: Investments moving to O&M in FY 2010, with Planning/Acquisition activities prior to FY 2010 should not select O&M. These investments should indicate their current status.)

Mixed Life Cycle

7. What was the first budget year this investment was submitted to OMB?

FY2009

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

One of the key goals of SSA's FY09 Annual Performance Plan is to deliver high-quality, citizen-centered service with a priority to make the right decision in the disability process as early as possible. The Intelligent Disability (ID) initiative directly supports these efforts. In May 2007, Commissioner Astrue testified to Congress on SSA's plans to reduce the backlog of disability claims. He indicated that SSA's disability programs have grown significantly over the last 5 years and will continue to do so at an increasing rate as aging baby boomers reach their most disability-prone years. In his testimony, the Commissioner identified four 4 areas that hold the most promise for reducing the backlogs and preventing their recurrence:

- 1.Compassionate allowances
- 2.Improving hearing procedures
- 3.Increasing adjudicatory capacity
- 4.Increasing efficiencies with automation and business process

Intelligent Disability consists of 19 planned and 14 operational projects (33 total) that directly support #1 and #4 and indirectly support to #2 by focusing on 3 main IT efforts that will position SSA to deliver high-quality, citizen-centered service while making the right decision in the disability process as early as possible. These efforts:

- oAssist in reducing hearing office backlogs, e.g., ePulling
- oComplete electronic disability case processing and ensure that cases remain paperless, e.g., Informal Remands
- oProvide critical support of and enhancement to efficiencies of the disability claims process, e.g., Health IT.

Many of the projects being developed as part of Intelligent Disability are implemented through the Agency's DDS Automation initiative and its successor, the common Disability Case Processing System (DCPS). For example, the Quick Disability Determination (QDD) project (part of Intelligent Disability) identifies initial disability claims that are likely to be quickly approved. These claims are then highlighted and addressed in DDS Automation/DCPS. Also, eCAT, a case analysis tool being developed as part of Intelligent Disability, directly supports the development of the disability cases tracked by DDS Automation/DCPS. It will be loosely integrated with DDS Automation and tightly with DCPS. Finally, among many other things, Health IT will enable the Agency to prescreen disability applications and automatically request data supporting the claim to more quickly and without human intervention start the development of a disability case file.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

a. If "yes," what was the date of this approval?

8/4/2008

10. Did the Project Manager review this Exhibit?

Yes

11. Contact information of Program/Project Manager?

Name

Phone Number

Email

a. What is the current FAC-P/PM (for civilian agencies) or DAWIA (for defense agencies) certification level of the program/project manager?

Senior/Expert/DAWIA-Level 3

b. When was the Program/Project Manager Assigned?

7/11/2008

c. What date did the Program/Project Manager receive the FAC-P/PM certification? If the certification has not been issued, what is the anticipated date for certification?

9/5/2008

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?

Yes

a. Will this investment include electronic assets (including computers)?

Yes

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

1. If "yes," is an ESPC or UESC being used to help fund this investment?

2. If "yes," will this investment meet sustainable design principles?

3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment directly support one of the PMA initiatives?

Yes

If "yes," check all that apply:

Expanded E-Government

Eliminating Improper Payments

a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

E-Government: Supports SSA's E-Folder, an application that holds all claimaints' folder documentation and is accessible by all case processing components. Enables convenient sharing of data between federal and state governments and reduces the expense of doing business with the government.

Eliminating Improper Payments: ID is an initiative designed to move the Agency toward an electronic disability process with error-reducing electronic validations designed to eliminate improper payments.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

Yes

a. If "yes," does this investment address a weakness found during a PART review?

Yes

b. If "yes," what is the name of the PARTed program?

10000370 - Social Security Disability Insurance

c. If "yes," what rating did the PART receive?

Moderately Effective

15. Is this investment for information technology?

Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)

Level 3

17. In addition to the answer in 11(a), what project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2008 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

No

a. If "yes," does this investment address a FFIA compliance area?

1. If "yes," which compliance area:

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Hardware

0.940000

Software

0.730000

Services

98.330000

Other

0.000000

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

22. Contact information of individual responsible for privacy related questions:

Name

Phone Number

Title

Lead Social Insurance Specialist

E-mail

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?

No

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2008	CY 2009	BY 2010	BY+1 2011	BY+2 2012	BY+3 2013	BY+4 and beyond	Total
Planning:	0	0	0	0					
Acquisition:	19.918	35.367	21.821	28.876					
Subtotal Planning & Acquisition:	19.918	35.367	21.821	28.876					
Operations & Maintenance:	1.048	1.862	2.424	1.501					
TOTAL:	20.966	37.229	24.245	30.377					
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	4.428	29.747	37.536	25.385					
Number of FTE represented by Costs:	38	265	317	203					

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes:

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Contracts/Task Orders Table:

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
0440-03-42036	Fixed Price Blanket Purchase Agreement (BPA) / Task Order	Yes	9/4/2003	9/4/2003	3/31/2009	9.2	No	No	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
IBM Content Manager Consulting Services	Fixed Price Blanket Purchase Agreement (BPA) / Task Order	No	9/30/2008	9/30/2008	9/29/2010	2.7	No	No	No	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
Re-Complete of existing Consulting Services contract	Fixed Price Blanket Purchase Agreement (BPA) / Task Order	No	9/30/2008	9/30/2008	9/29/2010	3	No	No	No	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-440	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.116	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-224	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	1.173	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-225	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials	Yes	9/30/2007	9/30/2007	9/29/2008	0.906	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	

Exhibit 300: Intelligent Disability (Revision 2)

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/em ail)	Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
	(T&M) Task Order															
LM - SS00-05-60011 Task Order 4-240	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	1.675	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-250	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.117	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-260	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.197	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-410	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.873	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-431	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials	Yes	9/30/2007	9/30/2007	9/29/2008	1.429	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	

Exhibit 300: Intelligent Disability (Revision 2)

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/em ail)	Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
	(T&M) Task Order															
LM - SS00-05-60011 Task Order 4-860	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	2.255	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / burgesen.michelle@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-511	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.285	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-520	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.284	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-620	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	1.911	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-640	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials	Yes	9/30/2007	9/30/2007	9/29/2008	0.75	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	

Exhibit 300: Intelligent Disability (Revision 2)

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/em ail)	Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
	(T&M) Task Order															
LM - SS00-05-60011 Task Order 4-650	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.94	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-801	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	1.466	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-820	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	0.759	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-861	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	1.611	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-223	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials	Yes	9/30/2007	9/30/2007	9/29/2008	1.259	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	

Exhibit 300: Intelligent Disability (Revision 2)

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/em ail)	Contracting Officer FAC-C or DAWIA Certification Level (Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
	(T&M) Task Order															
LM - SS00-05-60011 Task Order 4-880	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	2.318	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order 4-881	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/30/2007	9/30/2007	9/29/2008	2.52	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
SS00-04-40036	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Yes	9/14/2004	9/14/2004	9/13/2008	5.938	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
LM - SS00-05-60011 Task Order TBD	Task-based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	No	9/30/2008	9/30/2008	9/29/2011	46.322	No	Yes	Yes	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	
Health IT Services	TBD	No	9/30/2008	9/30/2008	9/29/2010	19.766	No	No	No	NA	No	Yes	Burgesen, Michelle	410-965-6462 / michelle.burgesen@ssa.gov	Level 3	

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

SSA's earned value management (EVM) policy and implementation has been reviewed by OMB, OIG and others and deemed consistent with the intent of OMB guidance and the ANSI standards which define a compliant EVM. SSA performs the vast majority of our work in-house, and thus conducts EVM and program management at the total program level which includes both Government costs and support contracts. The inclusion of earned value in SSA contracts is based on the type of contract let, the services performed, and the date when the contract was let. When applicable per policy, earned value management requirements are applied to SSA contractors in one of two ways. The first is to require the contractor to satisfy requirements utilizing their own earned value management system (EVMS) in accordance with FAR 52.234. The second is for the contractor to provide necessary data directly into SSA's in-house EVMS.

An example of the second case is the Lockheed Martin (LM) AWSSC Task Order contract where LM provides SSA with IT labor support. AWSSC task orders are issued annually on a fixed hour and dollar basis with very detailed work scopes, deliverables and schedules. In these scenarios SSA realizes efficiency advantages by mandating that LM utilize SSA's EVMS, which includes more consolidated and consistent tracking of program level resources and lower contractor costs. SSA's IT Advisory Board allocates these contractors to projects at the same time that it allocates Federal IT employees to the same projects. This is due to the fact that these contractors work side by side with federal employees, charge to the same work break down codes and perform the same work according to SSA mandated schedules, budgets and scope agreements. SSA has an in-house, program level EVMS that produces data attributable to the component and sub-component levels, thereby enabling these contractor's efforts to be easily separately monitored. The LM AWSSC Task Order contract also has many related progress, schedule and cost monitoring tools. Finally, instead of having contractor reporting be a month behind government reporting (as the case would be if we waited for separate contractor EVM reports) this process allows for expedited time reporting.

AWSSC task orders are issued in annual fixed hour and dollar increments with very detailed work scope, deliverables and schedules.

3. Do the contracts ensure Section 508 compliance?

Yes

a. Explain why not or how this is being done?

SSA ensures that any applicable IT requirements comply with Section 508 standards. The SSA includes Section 508 contract clauses and evaluation criteria in its solicitations and contracts as appropriate and ensures during the review of technical proposals that offerors are fully compliant or as compliant as possible based on the state of the technology in the marketplace. This is accomplished through review of technical documentation as well as through actual testing of the product.

4. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements?

Yes

a. If "yes," what is the date?

9/5/2008

1. Is it Current?

Yes

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2009	Service - To deliver high-quality, citizen-centered service	Customer Results	Timeliness and Responsiveness	Delivery Time	Minimize average processing time for initial disability claims to provide timely decisions	Will be established in FY 2008	107 days	Actual results will be available in FY 2010
2009	Service - To	Customer	Timeliness and	Delivery Time	Achieve target	Will be	50%	Actual results

Exhibit 300: Intelligent Disability (Revision 2)

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	deliver high-quality, citizen-centered service	Results	Responsiveness		percentage of hearing level cases pending over 365 days	established in FY 2008		will be available in FY 2010
2009	Service - To deliver high-quality, citizen-centered service	Mission and Business Results	Controls and Oversight	Program Monitoring	Disability Determination Services initial net accuracy rate	FY 2007 Actual - 97%	97%	Actual results will be available in FY 2010
2009	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Quality	Complaints	Decrease the number of pending requests for review (appeals of hearing decisions) over 365 days	Will be established in FY 2008	27%	Actual results will be available in FY 2010
2009	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Quality	Complaints	Maintain the number of SSA hearings pending	FY 2007 Actual - 746,744	687,000	Actual results will be available in FY 2010
2009	Stewardship - To ensure superior stewardship of Social Security programs and resource	Technology	Reliability and Availability	Availability	Availability of core applications	Ensure core applications (DMA) are available at least 99% of the time during the business day.	Ensure core applications (DMA) are available at least 99% of the time during the business day.	Actual results will be available in FY 2010
2010	Service - To deliver high-quality, citizen-centered service	Customer Results	Timeliness and Responsiveness	Delivery Time	Minimize average processing time for initial disability claims to provide timely decisions	Will be established in FY 2008	107 days	Actual results will be available in FY 2011
2010	Service - To deliver high-quality, citizen-centered service	Customer Results	Timeliness and Responsiveness	Delivery Time	Achieve target percentage of hearing level cases pending over 365 days	Will be established in FY 2008	TBD	Actual results will be available in FY 2011
2010	Service - To deliver high-quality, citizen-centered service	Mission and Business Results	Controls and Oversight	Program Monitoring	Disability Determination Services initial net accuracy rate	FY 2007 Actual - 97%	TBD	Actual results will be available in FY 2011
2010	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Quality	Complaints	Decrease the number of pending requests for review (appeals of hearing decisions) over 365 days	Will be established in FY 2008	26%	Actual results will be available in FY 2011
2010	Service - To deliver high-quality, citizen-centered service	Processes and Activities	Quality	Complaints	Maintain the number of SSA hearings pending	FY 2007 Actual - 746,744	614,000	Actual results will be available in FY 2011
2010	Stewardship - To ensure superior stewardship of Social Security programs and resource	Technology	Reliability and Availability	Availability	Availability of core applications	Ensure core applications (DMA) are available at least 99% of the time during the business day.	Ensure core applications (DMA) are available at least 99% of the time during the business day.	Actual results will be available in FY 2011

Section E: Security and Privacy (IT Capital Assets only)

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security

tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

For existing Mixed-Life Cycle investments where enhancement, development, and/or modernization is planned, include the investment in both the "Systems in Planning" table (Table 3) and the "Operational Systems" table (Table 4). Systems which are already operational, but have enhancement, development, and/or modernization activity, should be included in both Table 3 and Table 4. Table 3 should reflect the planned date for the system changes to be complete and operational, and the planned date for the associated C&A update. Table 4 should reflect the current status of the requirements listed. In this context, information contained within Table 3 should characterize what updates to testing and documentation will occur before implementing the enhancements; and Table 4 should characterize the current state of the materials associated with the existing system.

All systems listed in the two security tables should be identified in the privacy table. The list of systems in the "Name of System" column of the privacy table (Table 8) should match the systems listed in columns titled "Name of System" in the security tables (Tables 3 and 4). For the Privacy table, it is possible that there may not be a one-to-one ratio between the list of systems and the related privacy documents. For example, one PIA could cover multiple systems. If this is the case, a working link to the PIA may be listed in column (d) of the privacy table more than once (for each system covered by the PIA).

The questions asking whether there is a PIA which covers the system and whether a SORN is required for the system are discrete from the narrative fields. The narrative column provides an opportunity for free text explanation why a working link is not provided. For example, a SORN may be required for the system, but the system is not yet operational. In this circumstance, answer "yes" for column (e) and in the narrative in column (f), explain that because the system is not operational the SORN is not yet required to be published.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment?:

Yes

a. If "yes," provide the "Percentage IT Security" for the budget year:

4.51

2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment?

Yes

3. Systems in Planning and Undergoing Enhancement(s), Development, and/or Modernization - Security Table(s):

Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Date of Planned C&A update (for existing mixed life cycle systems) or Planned Completion Date (for new systems)
Electronic Disability System	Government Only	8/15/2009	8/15/2009

4. Operational Systems - Security Table:

Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level (High, Moderate, Low)	Has C&A been Completed, using NIST 800-37? (Y/N)	Date Completed: C&A	What standards were used for the Security Controls tests? (FIPS 200/NIST 800-53, Other, N/A)	Date Completed: Security Control Testing	Date the contingency plan tested
Electronic Disability System	Government Only	Moderate	yes	7/21/2008	FIPS 200 / NIST 800-53	7/2/2008	1/12/2008

5. Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?

No

a. If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process?

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?

No

a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

7. How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?

This is not a contractor system.

8. Planning & Operational Systems - Privacy Table:

(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
Electronic Disability	No	Yes	http://www.ssa.gov/foia/	Yes	http://a257.g.akamaitech

Exhibit 300: Intelligent Disability (Revision 2)

(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
System			piadocuments/FY07/Accelerated%20eDib%20FY07.htm		.net/7/257/2422/14mar20010800/edocket.access.gpo.gov/2003/pdf/03-31432.pdf [SOR 60-0320 - Electronic Disability Claim File; 68 F.R 71214, Dec. 22, 2003]
Details for Text Options: Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted. Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN. Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.					

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

Yes

a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy?

Yes

a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

b. If "no," please explain why?

IDib is a new investment for SSA and thus is not included in the most recent EA assessment.

3. Is this investment identified in a completed and approved segment architecture?

Yes

a. If "yes," provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect. For detailed guidance regarding segment architecture codes, please refer to <http://www.egov.gov>.

003-000

4. Service Component Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
QPMS	The Quality Performance Management System (QPMS) is an Integrated Reporting Mechanism that consolidates key data extracted from multiple sources into a single tool for quality performance results.	Back Office Services	Development and Integration	Data Integration	Data Integration		Internal	1
QPMS	The Quality Performance Management System (QPMS) is an Integrated Reporting Mechanism that consolidates key	Business Analytical Services	Reporting	OLAP	OLAP		Internal	1

Exhibit 300: Intelligent Disability (Revision 2)

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	data extracted from multiple sources into a single tool for quality performance results.							
i3441	i3441 is the electronic appeals disability report used to describe changes in a person's condition, medical treatment, work activities and/or education since the last disability report was filed.	Customer Services	Customer Relationship Management	Customer / Account Management	Customer / Account Management		Internal	1
ODAR Image Management	ODAR Image Management is used to auto-classify, auto-order, or reorder documents, extract structured data, redact data, and identify and delete duplicate images.	Digital Asset Services	Document Management	Classification	Classification		Internal	1
DMA	The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.	Digital Asset Services	Document Management	Library / Storage	Library / Storage	016-00-02-00-01-2210-00	Internal	0
eView	eView is an application that enables users involved in case processing to view and/or print the disability information contained in the Electronic Folder.	Digital Asset Services	Knowledge Management	Information Retrieval	Information Retrieval	016-00-01-02-02-2130-00	Internal	0
EDCS	The Electronic Disability Collect System (EDCS) is the electronic version of the paper disability forms. Information is collected in the same sequence, using the same questions as the paper forms.	Digital Asset Services	Knowledge Management	Knowledge Capture	Knowledge Capture	016-00-01-02-02-2130-00	Internal	0
EFI	The Electronic Folder (EF) is the SSA repository of disability information used to process and review disability determinations.	Digital Asset Services	Knowledge Management	Knowledge Capture	Knowledge Capture	016-00-03-00-02-2133-00	Internal	0
ERE	Electronic Records Express is the method by which medical	Process Automation Services	Routing and Scheduling	Inbound Correspondence Management	Inbound Correspondence Management	016-00-01-02-02-2130-00	Internal	0

Exhibit 300: Intelligent Disability (Revision 2)

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	providers submit medical records electronically. Once electronically submitted, medical records become a part of the EF. DDS examiners can view medical records online along with disability data collected by the Field Office.							
ARPS	The Appeals Council Case Processing System (ARPS) is the case processing system for the appeals council which allows electronic claims to be processed at all levels. It also allows for more efficient management and processing of the workload, and streamlines electronic communications with other components	Process Automation Services	Tracking and Workflow	Case Management	Case Management		Internal	1
eRPC	Electronic processing of Requests for Program Consultation (eRPC) is a web-based application that automates the process for resolving disagreements between DDSs and Quality Assurance reviewers who are seeking program clarifications. It also establishes a searchable, online database of prior RPC resolutions to support both disability examiners and QA reviewers.	Process Automation Services	Tracking and Workflow	Conflict Resolution	Conflict Resolution		Internal	1
ACU	The Access Control Utility is the security architecture framework for authentication and access control for internet, and automated telephone applications. It manages internally issued credentials, external credentials, exchange credentials and information with outside parties,	Support Services	Security Management	Identification and Authentication	Identification and Authentication	016-00-02-00-01-2210-00	Internal	0

Exhibit 300: Intelligent Disability (Revision 2)

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	and defines access rights and their use to categorize and control access to services; and defines how the public will use the credentials to gain access to SSA services.							

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Classification	Component Framework	Business Logic	Platform Independent Technologies	Enterprise Java Beans (EJB)
Library / Storage	Component Framework	Data Management	Database Connectivity	Database 2 (DB2)
OLAP	Component Framework	Data Management	Reporting and Analysis	Hyperion
Customer / Account Management	Component Framework	User Presentation / Interface	Content Rendering	SSA Online Services Portal
Inbound Correspondence Management	Component Framework	User Presentation / Interface	Dynamic Server-Side Display	Active Server Pages .Net (ASP.Net)
Conflict Resolution	Component Framework	User Presentation / Interface	Dynamic Server-Side Display	Java Server Pages (JSP)
Information Retrieval	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Knowledge Capture	Service Access and Delivery	Access Channels	Web Browser	Any
Identification and Authentication	Service Access and Delivery	Delivery Channels	Internet	
Identification and Authentication	Service Access and Delivery	Service Requirements	Legislative / Compliance	Access Control Utility
Data Integration	Service Interface and Integration	Integration	Middleware	Database Access: ISQL/w
Classification	Service Interface and Integration	Integration	Middleware	Remote Procedure Call (RPC)
Case Management	Service Interface and Integration	Integration	Middleware	WebSphere MQ
Conflict Resolution	Service Platform and Infrastructure	Database / Storage	Database	Database 2 (DB2)
Knowledge Capture	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Customer / Account Management	Service Platform and Infrastructure	Software Engineering	Test Management	Security and Access Control Testing

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)?

No

a. If "yes," please describe.

Exhibit 300: Part II: Planning, Acquisition and Performance Information

Section A: Alternatives Analysis (All Capital Assets)

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above. In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project?

Yes

a. If "yes," provide the date the analysis was completed?

8/15/2008

b. If "no," what is the anticipated date this analysis will be completed?

c. If no analysis is planned, please briefly explain why:

2. Alternative Analysis Results:

Use the results of your alternatives analysis to complete the following table:

* Costs in millions

Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
Alternative 1: Health IT, Case Tools, Bulk Filing	This alternative includes Health IT, Case Analysis Tools (e.g. eCAT), and Bulk Filing initiatives. Health IT enables the exchange of data with health care providers and others in an automated process and enables SSA to automate the analysis of claims. eCAT takes advantage of better digitization of data. Bulk filing enables collaboration with external partners to accept bulk submission of claims filed on behalf of claimants working with external partners.	158.212	46.74
Alternative 2: Reduce Backlog	This includes initiatives intended to reduce the disability backlog and Initiatives include eScheduling, ePulling (automated support for developing hearing packages), National Hearing Center support and developing a case management system. In addition, steps will be undertaken to reduce the number of exceptions that require reverting to paper files.	344.599	76.467
Alternative 3: Intelligent Disability	This includes Alternatives 1 and 2 plus Disability Direct, an initiative to automate the processing of on-line disability claims to the maximum extent possible, resulting in a much more direct route from application to	536.515	713.263

Exhibit 300: Intelligent Disability (Revision 2)

Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
	payment. This alternative will realize the quantitative benefits ascribed to the Intelligent Disability Vision.		
Status Quo: Maintain electronic Disability Systems	Continue to maintain the existing applications, but provide no enhancements or increased functionality. Simply ensure that the current applications continue to run efficiently in a Production environment.	63.01	0

3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?

Alternative 3 was selected because it focuses on improving & streamlining the entire disability process. While the other alternatives directly support the Commissioner's goal of reducing SSA's disability claims backlog, they do not take into account the broader need to process initial disability claims. SSA receives more than 2.5M initial disability claims a year. The enormous eligibility requirements and medical documentation that support claimants' allegations make this a complex, time-consuming process. An efficient, streamlined system is needed to quickly process workloads to meet the needs of the disabled public. Alternative Three includes all of these functionalities and reduces backlogs.

In his May 2007 testimony to Congress, Commissioner Astrue indicated 4 areas with the most promise for reducing backlogs and preventing them from recurring: 1. Compassionate allowances 2. Improve hearing procedures 3. Increase adjudicatory capacity 4. Increase efficiencies with automation and business process. ID will directly support #1 and #4 and provide indirect support to #2 by focusing on developing and maintaining systems in line with 3 main IT efforts:

- 1) Reduce Hearing Office Backlogs- ID efforts: Provide case processing and management system for the Office of Disability Adjudication and Review to support business process & develop an interface to the electronic folder; ODAR Case Preparation Initiative; Appeals Council Case Processing System (ARPS); ERE Enhancements- Assistance For Reducing Hearing Office Backlogs;
- 2) Complete Electronic Disability Case Processing- ID efforts: Expand Internet to provide capability for more types of claimants to complete disability reports via Internet- Improve Electronic Disability Collection System Functionality;
- 3) Support & Enhance Efficiencies of Disability Claims Process- ID efforts: Enhance automated systems that capture data collected during the disability interview to support all types of claims- Improve Document Management Architecture Functionality.

Intelligent Disability will be implemented in multiple releases-all with the goal of positioning SSA to deliver high-quality, citizen-centered Service while making the right decision in the disability process as early as possible. Not all projects will be implemented in the same release or at the same time.

a. What year will the investment breakeven? (Specifically, when the budgeted costs savings exceed the cumulative costs.)

Beyond 2021

4. What specific qualitative benefits will be realized?

As mentioned earlier, the goal of Intelligent Disability is to improve upon SSA's electronic disability claims processes while also positioning the Agency to take advantage of the rapid changes in the technology and healthcare industries. To this end, the Agency will increase its capability to work with external service providers who support claimants as they develop their disability filings. By working with these external entities, we will be able to reduce the demands placed on our field offices while streamlining the initial filings for many thousands of claimants.

Also, Intelligent Disability supports SSA's Health IT Scorecard. By fully adopting the advantages of Health IT as they become available, the Agency will be able to reduce the manual operations required to acquire and manipulate many aspects of the medical evidence we process. These advantages extend from the initial consideration of a case, where International Classification of Diseases (ICD) codes may enable us to quickly, and nearly automatically, grant benefits to claimants in certain cases, to the further automation of the pulling of evidence in support of hearings. As case analysis tools are developed to take advantage of the standard encoding of medical evidence, we will be able to improve all of our decision making processes by more fully understanding the process and the combination of factors that lead to a granting of benefits.

The reduction in the number of exceptions that require reverting to paper cases will aid the Agency by further reducing the costs of handling the remaining paper cases (and increasing the security of the files). It will also allow our staff to focus on the electronic system without having to deviate from established and controlled processes-reducing efficiencies.

Exhibit 300: Intelligent Disability (Revision 2)

Finally, ID provides direct support for a number of key goals from the Agency's SSA's FY09 Annual Performance Plan. Examples include minimizing the average processing time for initial disability claims to provide timely decisions, improving the Disability Determination Services net accuracy rate (allowances and denials combined), and maintaining the number of SSA hearings pending.

5. Federal Quantitative Benefits

What specific quantitative benefits will be realized (using current dollars) Use the results of your alternatives analysis to complete the following table:

	Budgeted Cost Savings	Cost Avoidance	Justification for Budgeted Cost Savings	Justification for Budgeted Cost Avoidance
PY - 1 2007 & Prior	0	0	N/A	
PY 2008	0	0	N/A	
CY 2009	0	66.793	N/A	
BY 2010	0	73.988	N/A	Workyears will be avoided through implementation of the following Intelligent Disability initiatives: Bulk Filing Case Analysis Tools Health IT Disability Direct
BY + 1 2011	0		N/A	Same as above
BY + 2 2012	0		N/A	Same as above
BY + 3 2013	0		N/A	Same as above
BY + 4 2014 & Beyond	0		N/A	Same as above
Total LCC Benefit	0		LCC = Life-cycle Cost	

6. Will the selected alternative replace a legacy system in-part or in-whole?

Yes

a. If "yes," are the migration costs associated with the migration to the selected alternative included in this investment, the legacy investment, or in a separate migration investment?

This Investment

b. If "yes," please provide the following information:

5b. List of Legacy Investment or Systems

Name of the Legacy Investment of Systems	UPI if available	Date of the System Retirement
Appeals Council Automated Processing System (ACAPS)		2/17/2008
Litigation Overview Tracking System (LOTS)		3/31/2011

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan?

Yes

a. If "yes," what is the date of the plan?

6/16/2008

b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

Yes

c. If "yes," describe any significant changes:

The Scope of Intelligent Disability's involvement with Health IT increased significantly and therefore necessitated the need for a new Risk Management Plan.

2. If there currently is no plan, will a plan be developed?

a. If "yes," what is the planned completion date?

b. If "no," what is the strategy for managing the risks?

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

SSA's baselines are risk adjusted in terms of both life cycle schedule and resource estimates. Factors considered in determining baseline risk adjustments include: identification of known and types of unknown program and technology risks, the likelihood of occurrence, the impact in the event the risk occurs, and the mitigation strategy adopted to manage each risk. Since SSA performs IT work in-house program cost and schedule estimates are developed internally. SSA estimators have at their disposal parametric data and numerous sizing and estimating tools which offer an excellent basis to assess and account for risk.

The intent of adopting this strategy is for the program to be able to absorb inevitable risk occurrences and still achieve end cost and schedule objectives. This practice (along with our risk management policies and procedures) has to date been a successful one at SSA. Small management reserves are held at the Deputy Commissioner level in the event they are required.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard-748?

Yes

2. Is the CV% or SV% greater than +/- 10%? (CV% = CV/EV x 100; SV% = SV/PV x 100)

No

a. If "yes," was it the CV or SV or both?

b. If "yes," explain the causes of the variance:

c. If "yes," describe the corrective actions:

3. Has the investment re-baselined during the past fiscal year?

Yes

a. If "yes," when was it approved by the agency head?

1/23/2008

4. Comparison of Initial Baseline and Current Approved Baseline

Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost (\$M)		Schedule (# days)	Cost (\$M)	
				Planned	Actual	Planned	Actual			
1	IDIB Initiative Projects FY07	9/30/2007	\$17.542200	9/30/2007	9/30/2007	\$13.355200	\$13.355200		\$0.000000	100.00%
1.1	IDIB New Development Projects FY07	9/28/2007	\$8.959700	9/28/2007	9/28/2007	\$6.398800	\$6.398800		\$0.000000	100.00%
1.1.1	3054 Long Term eCDRs	9/28/2007	\$0.726800	9/28/2007	9/28/2007	\$0.906200	\$0.906200		\$0.000000	100.00%
1.1.2	3055 Improve EDCS Funct.	9/28/2007	\$0.346900	9/28/2007	9/28/2007	\$0.496100	\$0.496100		\$0.000000	100.00%
1.1.3	3056 Efficient Claims Tsf. Mech.	9/28/2007	\$0.432300	9/27/2007	9/27/2007	\$0.064300	\$0.064300		\$0.000000	100.00%
1.1.4	3057 eView/EFI/NDDSS Enhancements	9/28/2007	\$0.368600	9/28/2007	9/28/2007	\$0.470800	\$0.470800		\$0.000000	100.00%
1.1.5	3058 Support QDD	9/28/2007	\$1.042200	9/28/2007	9/28/2007	\$0.527400	\$0.527400		\$0.000000	100.00%
1.1.6	3059 Short Term eCDR Appeals	9/28/2007	\$0.261100	9/28/2007	9/28/2007	\$0.025600	\$0.025600		\$0.000000	100.00%
1.1.7	Assistance For Reducing Hearing Office Backlogs FY 2008 - 2374	9/28/2007	\$0.440400	9/28/2007	9/28/2007	\$0.227200	\$0.227200		\$0.000000	100.00%
1.1.8	3061 Appeals Council Case Proc. Sys	9/28/2007	\$1.898900	9/28/2007	9/28/2007	\$1.875900	\$1.875900		\$0.000000	100.00%
1.1.9	3062 EF Access Control	9/28/2007	\$0.406800	9/28/2007	9/28/2007	\$0.032800	\$0.032800		\$0.000000	100.00%
1.1.10	3063 Centralized Printing	9/28/2007	\$0.478800	9/27/2007	9/27/2007	\$0.162100	\$0.162100		\$0.000000	100.00%
1.1.11	3064 Automated Noticing	9/28/2007	\$0.006600	9/28/2007	9/28/2007	\$0.009700	\$0.009700		\$0.000000	100.00%
1.1.12	3065 Fiscal Payment Processing	9/28/2007	\$0.011000	9/28/2007	9/28/2007	\$0.007800	\$0.007800		\$0.000000	100.00%
1.1.13	3067 QA DIB	9/28/2007	\$1.009800	9/28/2007	9/28/2007	\$0.955800	\$0.955800		\$0.000000	100.00%
1.1.14	3068 ED Cat	9/28/2007	\$0.978800	9/28/2007	9/28/2007	\$0.431300	\$0.431300		\$0.000000	100.00%
1.1.15	3069 Internet Disability Reports	9/28/2007	\$0.108900	9/28/2007	9/28/2007	\$0.019500	\$0.019500		\$0.000000	100.00%
1.1.16	3168 eCAR for OGC	9/28/2007	\$0.171000	9/28/2007	9/28/2007	\$0.000000	\$0.000000		\$0.000000	100.00%
1.1.17	3382 ODAR Case Preparation Initiative	9/28/2007	\$0.270800	9/28/2007	9/28/2007	\$0.186300	\$0.186300		\$0.000000	100.00%
1.2	IDIB Continuing Projects FY07	9/30/2007	\$3.611000	9/30/2007	9/30/2007	\$3.612600	\$3.612600		\$0.000000	100.00%
1.2.1	2826 Improvements to DMA	9/28/2007	\$1.014900	9/28/2007	9/28/2007	\$0.946500	\$0.946500		\$0.000000	100.00%

Exhibit 300: Intelligent Disability (Revision 2)

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost (\$M)		Schedule (# days)	Cost (\$M)	
				Planned	Actual	Planned	Actual			
	Functionality									
1.2.2	3648 HIT- Health Information Technology	9/28/2007	\$0.139500	9/28/2007	9/28/2007	\$0.151100	\$0.151100		\$0.000000	100.00%
1.2.3	3828 Electronic Records Express Website	9/28/2007	\$1.448200	9/28/2007	9/28/2007	\$1.210300	\$1.210300		\$0.000000	100.00%
1.2.4	4564 QPMS - Release 2	9/28/2007	\$0.257500	9/28/2007	9/28/2007	\$0.289000	\$0.289000		\$0.000000	100.00%
1.2.5	4632 Internet	9/28/2007	\$0.750900	9/28/2007	9/28/2007	\$0.955800	\$0.955800		\$0.000000	100.00%
1.2.6	eCAR for OGC	9/30/2007	\$0.000000	9/30/2007	9/30/2007	\$0.059900	\$0.059900	0	\$0.000000	100.00%
1.3	IDIB Maintenance Projects FY07	9/28/2007	\$3.370500	9/28/2007	9/28/2007	\$1.337600	\$1.337600		\$0.000000	100.00%
1.4	IDIB ITS Cost FY07	9/28/2007	\$1.601000	9/28/2007	9/28/2007	\$2.006200	\$2.006200		\$0.000000	100.00%
2	IDIB Initiative Projects FY08	9/30/2008	\$58.239169	9/30/2008	7/30/2008	\$46.217700	\$42.272900	-5	-\$3.993500	83.14%
2.1	IDIB Initiative DME Projects FY08	9/30/2008	\$45.636434	9/30/2008	7/30/2008	\$39.339200	\$35.689900	-6	-\$3.304000	82.45%
2.1.1	Improvements to DMA Functionality FY '08 - 2334	9/30/2008	\$2.683138	9/30/2008		\$2.312900	\$1.950900	-3	\$0.111100	89.15%
2.1.2	Long Term eCDRs FY '08 - 2343	9/30/2008	\$3.015152	9/30/2008		\$2.599100	\$2.526300	-17	-\$0.225700	88.52%
2.1.3	Improve EDCS Functionality FY '08 - 2344	9/30/2008	\$1.964356	9/30/2008		\$1.693300	\$2.916600	-1	-\$1.391300	90.07%
2.1.4	Efficient Claims Transfer Mechanism FY '08 -2345	9/30/2008	\$0.058352	9/30/2008	7/30/2008	\$0.050300	\$0.091300	0	-\$0.041000	100.00%
2.1.5	eView/EF/NDDSS Enhancements	9/30/2008	\$1.880482	9/30/2008		\$1.621000	\$2.429400	-1	-\$0.966000	90.28%
2.1.6	Support QDD FY 08 - 2347	9/30/2008	\$1.283275	9/30/2008		\$1.106200	\$0.923800	-22	\$0.088700	91.53%
2.1.7	Short Term eCDR Appeals FY 08 - 2351	9/30/2008	\$0.355795	9/30/2008	7/30/2008	\$0.306700	\$0.893400	0	-\$0.586700	100.00%
2.1.8	Assistance For Reducing Hearing Office Backlogs FY 2008 - 2374	9/30/2008	\$0.394194	9/30/2008	7/30/2008	\$0.339800	\$0.444100	0	-\$0.104300	100.00%
2.1.9	Appeals Council Case Processing System (ARPS) FY2008 - 2365	9/30/2008	\$8.331661	9/30/2008		\$7.182000	\$6.985200	-1	-\$0.795600	86.18%
2.1.10	EF Access Control FY 2008 - 2367	9/30/2008	\$0.411131	9/30/2008	7/30/2008	\$0.354400	\$0.495900	0	-\$0.141500	100.00%
2.1.11	Centralized Printing FY 2008 - 2368	9/30/2008	\$0.574353	9/30/2008		\$0.495100	\$0.507000	-1	-\$0.062500	89.80%

Exhibit 300: Intelligent Disability (Revision 2)

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost (\$M)		Schedule (# days)	Cost (\$M)	
				Planned	Actual	Planned	Actual			
2.1.12	Automated Noticing FY 2008 - 2369	9/30/2008	\$0.005684	9/30/2008		\$0.004900	\$0.003700	0	-\$0.000700	59.18%
2.1.13	Fiscal Payment Processing FY 2008 - 2375	9/30/2008	\$0.545931	9/30/2008		\$0.470600	\$0.259300	-33	\$0.019400	59.22%
2.1.14	Disability Quality Review - QA DIB	9/30/2008	\$1.223647	9/30/2008		\$1.054800	\$0.991700	-4	-\$0.067900	87.58%
2.1.15	Internet Disability Reports FY '08 - 2332	9/30/2008	\$0.541291	9/30/2008		\$0.466600	\$0.607500	-48	-\$0.226800	81.59%
2.1.16	eCAR - 2283	9/30/2008	\$0.571221	9/30/2008		\$0.492400	\$0.624100	-1	-\$0.168700	92.49%
2.1.17	ODAR Case Preparation Initiative (Image Management Software) - 2292	9/30/2008	\$3.177562	9/30/2008		\$2.739100	\$1.895400	0	\$0.305300	80.34%
2.1.18	Health Information Technology (HIT) - 2404	9/30/2008	\$2.928262	9/30/2008		\$2.524200	\$1.479200	-41	-\$0.226900	49.61%
2.1.19	ERE Enhancements for 08 - 2299	9/30/2008	\$6.771012	9/30/2008		\$5.836700	\$4.466000	12	\$0.539600	85.76%
2.1.20	QPMS (2008 and 2009) - 2221	9/30/2008	\$1.247545	9/30/2008		\$1.075400	\$0.933100	-13	-\$0.125100	75.13%
2.1.21	DDS Web-Enabled Applications	9/30/2008	\$0.687809	9/30/2008		\$0.592900	\$0.424500	0	\$0.040800	78.48%
2.1.22	Payment Center CPMS requirements - 2295	9/30/2008	\$0.061252	9/30/2008	7/30/2008	\$0.052800	\$0.044400	0	\$0.008400	100.00%
2.1.23	HO Productivity Enhancements - CPMS (including MI) - 2282	9/30/2008	\$3.326864	9/30/2008		\$2.867800	\$1.305900	-2	\$0.917600	77.53%
2.1.24	FIT - DGS Enhance-ments - 2285	9/30/2008	\$0.663215	9/30/2008		\$0.571700	\$0.485500	-2	-\$0.028200	79.99%
2.1.25	ODAR Exhibiting - DMA/eView Enhance-ments FY 2008 - 2366	9/30/2008	\$0.022969	9/30/2008	7/30/2008	\$0.019800	\$0.007400	0	\$0.012300	100.00%
2.1.26	Elimination of NDDSS FY 08 - 2405	9/30/2008	\$0.464494	9/30/2008		\$0.400400	\$0.149600	-9	\$0.160700	77.50%
2.1.27	Electronic Disability Case Analysis Tool	9/30/2008	\$1.445918	9/30/2008		\$1.246400	\$1.074200	-2	-\$0.082000	79.61%
2.1.28	Management Information (MI) for eCDR - 2102	9/30/2008	\$0.168443	9/30/2008		\$0.145200	\$0.229800	0	-\$0.229800	35.12%
2.1.29	EF Interface with PCACS and Paperless - 2110	9/30/2008	\$0.000580	9/30/2008	7/30/2008	\$0.000500	\$0.000000	0	\$0.000500	100.00%
2.1.30	Automated Scheduling - Proof of Concept - 2290	9/30/2008	\$0.031902	9/30/2008		\$0.027500	\$0.029100	-3	-\$0.008600	74.91%

Exhibit 300: Intelligent Disability (Revision 2)

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost (\$M)		Schedule (# days)	Cost (\$M)	
				Planned	Actual	Planned	Actual			
2.1.31	DSI Workload Mi -2327	9/30/2008	\$0.798944	9/30/2008		\$0.688700	\$0.515600	-3	-\$0.029100	70.64%
2.2	IDIB Maintenance Projects FY08	9/30/2008	\$4.388735	9/30/2008		\$2.415200	\$2.597800	0	-\$0.629500	83.02%
2.3	IDIB ITS Cost FY08	9/30/2008	\$8.214000	9/30/2008		\$4.463300	\$3.985200	0	\$0.000000	89.29%
3	IDIB Initiative Projects FY09	9/30/2009	\$59.792701	9/30/2009		\$79.584767				0.00%
3.1	iDib Development Projects	9/30/2009	\$49.347022	9/30/2009		\$65.507006				0.00%
3.1.1	Internet Disability Direct FY '09 P2417	9/30/2009	\$4.514926	9/30/2009		\$5.981477				0.00%
3.1.2	ODAR Case Preparation Initiative - ePulling P2580	9/30/2009	\$1.505327	9/30/2009		\$2.007751				0.00%
3.1.3	Desktop Video Units (DVU)/Representative Owned Video Equipment (ROVE) P2656	9/30/2009	\$0.337953	9/30/2009		\$0.445811				0.00%
3.1.4	FIT - DGS Enhancements, Release 3 P2603	9/29/2009	\$1.014749	9/29/2009		\$1.354361				0.00%
3.1.5	Automated Scheduling FY09 - Dev P2817	9/29/2009	\$0.566112	9/29/2009		\$0.751333				0.00%
3.1.6	Standardized Electronic Hearing Office Process (eHOP) P2608	9/30/2009	\$1.630181	9/29/2009		\$2.163194				0.00%
3.1.7	Centralized Printing Release 3.0 P2667	9/29/2009	\$0.358763	9/29/2009		\$0.477695				0.00%
3.1.8	ODAR Exhibiting -- DMA/eView Enhancements FY2009 P2683	9/29/2009	\$1.829082	9/29/2009		\$2.426584				0.00%
3.1.9	CPMS Management Information P2606	9/29/2009	\$1.055521	9/29/2009		\$1.408492				0.00%
3.1.10	Hearing Office Productivity Enhancements - CPMS P2613	9/30/2009	\$1.114843	9/30/2009		\$1.480761				0.00%
3.1.11	Compassionate Allowances P2892	9/30/2009	\$0.336364	9/30/2009		\$0.445489				0.00%
3.1.12	Compassionate Allowances 2009 P2875	9/30/2009	\$0.959157	9/30/2009		\$1.268575				0.00%
3.1.13	Health Information Technology P2481	9/30/2009	\$3.821642	9/30/2009		\$5.099499				0.00%
3.1.14	eCAT FY 09 P2807	9/30/2009	\$2.304372	9/30/2009		\$3.049826				0.00%
3.1.15	Disability Quality Review - FY09 P2675	9/30/2009	\$1.357056	9/30/2009		\$1.798504				0.00%

Exhibit 300: Intelligent Disability (Revision 2)

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost (\$M)		Schedule (# days)	Cost (\$M)	
				Planned	Actual	Planned	Actual			
3.1.16	QPMS Enhancements Release 4 P2784	9/30/2009	\$0.452809	9/30/2009		\$0.600832			0.00%	
3.1.17	Integrated Disability Process (IDP) P2897	9/30/2009	\$0.179865	9/30/2009		\$0.236670			0.00%	
3.1.18	EDCS Enhancements FY09 P2567	9/30/2009	\$2.715708	9/30/2009		\$3.598788			0.00%	
3.1.19	eView/EFI Enhancements FY '09 P2614	9/30/2009	\$2.802551	9/30/2009		\$3.711000			0.00%	
3.1.20	Improvements to DMA Functionality FY '09 P2616	9/30/2009	\$1.171988	9/30/2009		\$1.553863			0.00%	
3.1.21	ERE Enhancements for FY 2009 P2678	9/30/2009	\$2.248823	9/30/2009		\$2.988534			0.00%	
3.1.22	ERE Registration, Authentication & Authorization P2791	9/30/2009	\$0.423313	9/30/2009		\$0.562310			0.00%	
3.1.23	Appeals Council Case Processing System (ARPS) Release P2612	9/30/2009	\$3.511333	9/30/2009		\$4.666750			0.00%	
3.1.24	eCDR - Long Term P2464	9/30/2009	\$1.853743	9/30/2009		\$2.462507			0.00%	
3.1.25	Fiscal Payment Processing FY 09 P2592	9/30/2009	\$1.358912	9/30/2009		\$1.803904			0.00%	
3.1.26	eCAR for OGC Release 3 P2855	9/30/2009	\$0.464505	9/30/2009		\$0.620531			0.00%	
3.1.27	Improvements to Linked eForms P2618	9/30/2009	\$0.089932	9/30/2009		\$0.118335			0.00%	
3.1.28	Multi-System Disability Claims Transfer P2454	9/30/2009	\$1.019195	9/30/2009		\$1.351529			0.00%	
3.1.29	Jurisdiction and Site Code Enhancements P2865	9/30/2009	\$0.309687	9/30/2009		\$0.410869			0.00%	
3.1.30	Web-based Electronic Processing of Requests for Program Consultation 2009 P2652	9/30/2009	\$0.068155	9/30/2009		\$0.090806			0.00%	
3.1.31	Short Term eCDR Appeals Rel 2 P2788	9/30/2009	\$0.067256	9/30/2009		\$0.089622			0.00%	
3.1.32	IDMS/DCF Priority Needs Enhancements P2794	9/30/2009	\$1.042158	9/30/2009		\$1.378206			0.00%	
3.1.33	Video and Photographic	9/30/2009	\$0.070954	9/30/2009		\$0.094649			0.00%	

Exhibit 300: Intelligent Disability (Revision 2)

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date (mm/dd/yyyy)	Total Cost (\$M) Estimated	Completion Date (mm/dd/yyyy)		Total Cost (\$M)		Schedule (# days)	Cost (\$M)	
				Planned	Actual	Planned	Actual			
	Evidence in the Electronic Folder P2565									
3.1.34	Claims Data Web Service(PID 2772)	9/30/2009	\$0.905255	9/30/2009		\$1.200607				0.00%
3.1.35	SSI P&A (2816)	9/30/2009	\$0.452123	9/30/2009		\$0.598836				0.00%
3.1.36	Medical Providers Files (2769)	9/30/2009	\$0.013510	9/30/2009		\$0.017809				0.00%
3.1.37	Representation of Claimants and the Internet/Intranet 1696 Process (2768)	9/30/2009	\$3.447236	9/30/2009		\$4.567682				0.00%
3.1.38	Single Sign On for Authorized Rep Suite of Services (2889)	9/30/2009	\$1.971963	9/30/2009		\$2.623015				0.00%
3.2	Maintenance(WY costs)	9/30/2009	\$1.949845	9/30/2009		\$2.601661				0.00%
3.3	iDib ITS Costs FY09	9/30/2009	\$8.495834	9/30/2009		\$11.476100				0.00%
4	IDIB Initiative Projects FY10	9/30/2010	\$59.792706	9/30/2010		\$52.126581				0.00%
5	IDIB Initiative Projects FY11	9/30/2011		9/30/2011						0.00%
6	IDIB Initiative Projects FY12	9/30/2012		9/30/2012						0.00%
Project Totals		9/30/2012		9/30/2012	7/30/2008					16.66%